



Application Instructions

1. Purpose of this form

The purpose of this form is to collect information to determine whether the Applicant will be granted issuance of a licence to generate electricity for sale.

2. Structure of the Application Form

This form contains the following sections:

- A. General Information
- B. Corporate and Technical Information
- C. Information about Key Individuals within the organization
- D. Notice and Consent
- E. Acknowledgement of Other Market Conditions

Note: The information in section A will be considered public. All other information filed as part of this application, with the exception of names and positions held of key individuals, will be considered confidential. Where the Applicant objects to public disclosure of information, the Applicant must follow the Ontario Energy Board's approved Guidelines for Treatment of Filing made in Confidence, effective March 19, 2001.

3. Completion Instructions

PRINT CLEARLY or TYPE all information in **BLACK**. Please send 2 copies of the completed form and attachments to:

Board Secretary
Ontario Energy Board
2300 Yonge Street
P.O. Box 2319, 26th Floor
Toronto, ON M4P 1E4

Applications not completed in full will be returned to the Applicant. If you have any questions on completing this application, please contact the Market Operations Hotline at 416-440-7604 or 1-888-632-6273 or e-mail Market Operations at oeb.gov.on.ca.

4. Licence Fees:

Application Fees:

A non-refundable application fee of \$800.00, in Canadian funds, is required to process your application. Please enclose a cheque or money order made payable to the **ONTARIO ENERGY BOARD**.

Note: If a licence is issued, the Licensee may be required to pay an annual fee of \$800.00. For generators under 10 MW the non-refundable application fee is \$100.00 and there is no annual fee. For further information, please refer to the Board's website at: www.oeb.gov.on.ca/html/en/licences/applyforallicence.htm

5. Important Information:

As a licenced Electricity Generator, the licensee may be subject to additional obligations as required by a distributor, transmitter or the Independent Electricity System Operator (IESO) and as established under section 70 of the *Ontario Energy Board Act, 1998*.

The issuance of an electricity generator licence does not guarantee accreditation by the IESO or connection to a transmission or distribution system.

REMARQUE:
Ce document est disponible en français.

OEB App03A-Sept./06

For Office Use Only	
Application Number	EB- RP-
Date Received	

A. General information

1. Applicant's Name

Name to appear on Licence:

2. Primary Contact for this Application

Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Miss <input type="checkbox"/> Ms. <input type="checkbox"/> Other: _____	Last Name:	Full First Name:	Initial:
	Position Held:		
Contact Address (if R.R., give Lot, Concession No. and Township)			
City	Prov.	Country	Postal/Zip Code
Phone Number	FAX Number	E-mail Address (if applicable)	

3. Type of Application

New licence	<input type="checkbox"/>
Renewal	<input type="checkbox"/> Licence No.

4. Business Classification

Sole Proprietor	<input type="checkbox"/>
Partnership	<input type="checkbox"/>
Corporation	<input type="checkbox"/>
Other (describe) _____	<input type="checkbox"/>

5. Applicant Information

Full Legal Name of Applicant		Ontario Corporation Number, Canadian Corporation Number or Business Registration Number	Date of Formation or Incorporation
a) Business Address (if different from Contact Address in A2 above).			
Street			
City	Province/State	Country	Postal/Zip Code
Phone Number	FAX Number	E-Mail Address (if applicable)	
b) Address for service in Ontario (if different from Business Address in 5a above). If R.R., give Lot, Concession No. and Township.			
Street			
City	Prov.	Country	Postal/Zip Code
Phone Number	FAX Number	E-Mail Address (if applicable)	

6. Licensing History

a) Has the Applicant or an affiliate been licensed by the Ontario Energy Board?
If yes, please provide the following information:

Yes No

Company Name	Business Activity	Licence No.	Is the Licence valid? If No, please provide date of expiration.	
			Yes <input type="checkbox"/>	No <input type="checkbox"/>
			Yes <input type="checkbox"/>	No <input type="checkbox"/>
			Yes <input type="checkbox"/>	No <input type="checkbox"/>
			Yes <input type="checkbox"/>	No <input type="checkbox"/>
			Yes <input type="checkbox"/>	No <input type="checkbox"/>

b) Has the Applicant or an affiliate undertaken any electricity sector activities in any other jurisdiction? If yes, provide the following information:

Yes No

Company Name	Jurisdiction	Business Activity	Licence/ Registration No.	Is the Licence valid? If No, please provide date of expiration.	
				Yes <input type="checkbox"/>	No <input type="checkbox"/>
				Yes <input type="checkbox"/>	No <input type="checkbox"/>
				Yes <input type="checkbox"/>	No <input type="checkbox"/>
				Yes <input type="checkbox"/>	No <input type="checkbox"/>
				Yes <input type="checkbox"/>	No <input type="checkbox"/>

B. Corporate and Technical Information

7. Business Activities

a) Please describe the Applicant's business as it relates to this application.

b) Please provide a current corporate organization chart showing the name of the applicant and affiliated companies.

c) Is the applicant an affiliate of an electrical distribution or transmission company? If yes, please provide the name of licensee and licence number granted by the Ontario Energy Board. Yes No

d) If answer is yes to c), has the applicant given notice of its proposal to the Ontario Energy Board under the requirements of Section 81 of the *Ontario Energy Board Act, 1998*.

8. Intended Services and Markets

a) Please provide a high level description of the Applicant's business plan for the generation and sale of Electricity in Ontario.

b) Does the Applicant intend to sell power into the IMO-administered market? Yes No

c) Does the Applicant intend to settle with the distributor for the power injected into the distribution system in accordance with the retail settlement system? If yes, please identify the distributor. Yes No

d) If yes to 8 c), has the Applicant entered into a connection agreement and a service agreement for settlement purposes with the distributor?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
e) Does the Applicant intend to sell electricity to a consumer defined as a person who uses for own consumption, electricity that the person did not generate?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
f) If the applicant answered yes to 8 e), has the Applicant applied for a retailer licence?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
g) Has the applicant entered into a contract with the Ontario Power Authority?	Yes <input type="checkbox"/>	No <input type="checkbox"/>

9. Technical Capability

<p>a) Please describe the Applicant's technical ability to carry out the activities applied for, including the Applicant's specific experience in Ontario. Please identify key technical and operational personnel, including names, titles and experience</p>
<p>b) Please describe the Applicant's experience in the electric industry in Ontario and other jurisdictions.</p>

11. Transmission and Distribution Assets

Transmission Assets *The Electricity Act, 1998* defines a transmission system as a system used to convey electricity at voltages above 50 kilovolts (kv).

a) Does the Applicant own or operate any transmission equipment? Yes No

b) If answered yes in a), does the Applicant own or operate transmission equipment that is used only for the purpose of conveying electricity from the generation facility(ies) to the IESO-controlled grid? Yes No

c) Does the Applicant own or operate transmission equipment that is used to convey electricity from the generation facility(ies) for purposes other than what is described in 11 b). Please provide details. Yes No

d) If answered yes in 11 c), explain the purpose of the equipment and identify the person to whom the electricity is conveyed.

e) Does the applicant intend to obtain from the Ontario Energy Board an Order granting leave to construct under Section 92 of the *Ontario Energy Board Act, 1998*. If not, why? Yes No

Distribution Assets *The Electricity Act, 1998* defines a distribution system as a system used to convey electricity at voltages of 50 kV or less.

f) Does the Applicant own or operate any distribution equipment? Yes No

g) If answered yes in e), does the Applicant own or operate distribution equipment that is used only for the purpose of conveying electricity from the generation facility(ies) to the distribution network? Yes No

h) Does the Applicant own or operate distribution equipment that is used to convey electricity from the generation facility(ies) for purposes other than what is described in 11 f). Yes No

i) If answered yes in 11 g), explain the purpose of the equipment and identify the person to whom the electricity is conveyed.

12. Generation Facilities

Please complete this section for each generation facility. For the purposes of this form, please consider each separate property where electricity will be generated for sale as a "facility". If there is insufficient space below, please provide this information as an attachment to this form.

Fuel Type (Please circle):

a) Water b) Natural Gas c) Wood d) Coal e) Diesel

f) Other (please specify):

g) Back-up fuel source:

Facility Name & Address	Project Status (% Complete)			Connection point to the IESO or distributor grid	In-Service Date
	Planning	Financing	Construction		

Number of Units:

Installed Capacity:

For the following five year period, please provide an estimate of the maximum generating capacity (MW) for each generation facility.

Year 1:

Year 2:

Year 3:

Year 4:

Year 5:

Please indicate Ownership/Operation:

Owned and Operated

Owned Only

Operated only

13. Financial Information

a) If the Applicant is a public corporation, please provide annual reports for the last two fiscal years.

b) Please provide the most recent prospectus and quarterly reports that are available.

c) If audited financial statements are not available, please provide the most recent 2 years of audited financial statements signed by the proprietor or by at least one director or partner.

d) If unaudited statements are not available, please provide information that indicates the applicant's financial state of affairs. This may include one, or more of the following: balance sheets; income statements; pro forma statements; letter of reference from the applicant's bank; or a parental guarantee. An officer of the company must sign documents that have not been audited.

e) Are any of the facilities listed in answer to Question 12 to be constructed or existing facilities to be upgraded or retro-fitted?	Yes	No
	<input type="checkbox"/>	<input type="checkbox"/>

f) If answered yes in 13 d), please provide projected capital cost relating to this undertaking. Please explain the financial arrangement of how the Applicant finance the activities authorized by its licence if the application were granted.

14. Other Regulatory Approvals

Please list any other approvals that are required in order for the facilities listed in Question 12 to commence operation. Indicate the status of these approvals.

C. Information About Each Key Individual

15. Personal Information

Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/>	Last Name:	Full First Name:	Initial:
Miss <input type="checkbox"/> Ms. <input type="checkbox"/> Other: _____	Position Held:		

16. Personal Experience in Energy Industry

a) Has this person been a proprietor, partner, officer or director of a business that was granted an licence under the <i>Ontario Energy Board Act, 1998</i> .	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If yes, provide business names, type of licence and licence number(s).		
.....		
.....		
.....		
b) Has this person been a proprietor, partner, officer, or director of an energy business that was registered or licenced under this or any other statues of Canada or U.S.	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If yes, identify the legislation, province/state in which the legislation applies, and the associated business activities.		
.....		
.....		
.....		
c) Has this person been a proprietor, partner, officer or director of an energy business that had a registration or licence of any kind refused, suspended, revoked or cancelled?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If yes, please provide company name and describe the situation, including the jurisdiction and type of licence.		
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.....		
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Signature of key individual	Date signed
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Note:

Attach a copy of Section C for each key Individual; each officer and director (for a corporation); each partner (for a partnership); or each proprietor (for a partnership).

D. Notice and Consent

AS REQUIRED BY THE FREEDOM OF INFORMATION AND PROTECTION OF INDIVIDUAL PRIVACY ACT

In order to complete or verify the information provided on this form, it may be necessary for the Ontario Energy Board to collect additional information from some or all of the following sources: federal, provincial/state and municipal governments; licensing bodies; law enforcement agencies; banks; professional and industry associations; and former and current employers. **Only information relevant to your application will be collected.**

The public official who can answer questions about the collection of information is:

Board Secretary
Ontario Energy Board
2300 Yonge Street
P.O. Box 2319
Toronto, Ontario M4P 1E4

Tel: 416-314-2455 or 1-877-632-2727

NOTE: This application must be signed by the proprietor or by at least one partner, officer or director of the organization.

WARNING: It is an offence to knowingly provide false information on this application.

I/We consent to the collection of this information as authorized under the *Ontario Energy Board Act, 1998*. Yes

I/We understand that this information will be used to determine whether I am/we are qualified for the licence for which I am/we are applying. Yes

Print Name and Title	Signature of Applicant(s)	Date Signed

E. Acknowledgment of Other Market Conditions

NOTE: This acknowledgment must be signed by the proprietor or by at least one partner, officer or director of the organization.

I understand and acknowledge that, as a licenced electricity generator, I may have to meet requirements to disclose information to consumers in accordance with any government regulation made or standard set by the Board.

I understand and acknowledge that, if I intend to operate in the Independent Market Operator (IMO) administered markets and settle bilateral contracts through the IMO, I may have to post security (i.e., financial guarantee bond, security deposit) with the IMO to meet its prudential requirements.

I understand and acknowledge that, if I choose to settle the wholesale cost of electricity consumed or sold by my customers through a distributor's settlement system, I may have to meet prudential requirements as set out in the Retail Settlement Code.

I understand and acknowledge that, as a licenced electricity generator, I must enter into a connection agreement with the distributor or transmitter to whom my facilities are connected.

Note: The issuance of an electricity generator licence does not guarantee accreditation by the IMO, or connection to a transmission or distribution system.

Print Name and Title	Signature of Applicant(s)	Date Signed

CHECKLIST

Have You:

1.	Properly and fully completed this form? (Illegible, incomplete or improperly completed forms do not qualify for registration and will be delayed or returned).	<input type="checkbox"/>
2.	Enclosed a cheque or money order payable to the ONTARIO ENERGY BOARD in the amount prescribed (\$800.00)?	<input type="checkbox"/>
3.	Attached 2 copies of the completed application form and attachments?	<input type="checkbox"/>
4.	Attached Section D, the signed "Notice and Consent" form, as specified?	<input type="checkbox"/>
5.	Attached Section E, the signed "Acknowledgement" form, as specified?	<input type="checkbox"/>
<p>Please send the completed form and all attachments to:</p> <p>Board Secretary Ontario Energy Board Attn: Licence Processing 2300 Yonge Street P.O. Box 2319 , 26th Floor Toronto, ON M4P 1E4</p>		
<p>NOTE: You are not required to return the cover page or this checklist to the Ontario Energy Board.</p>		